

10/588209

Docket No. 293106US40PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 26 2007

IN RE APPLICATION OF: Shigeharu ICHIYANAGI

SERIAL NO: New US PCT Application Based on PCT/JP05/07355

GAU:

FILED: Herewith

EXAMINER:

FOR: HEAT EXCHANGER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Corwin Paul Umbach*

Richard D. Kelly

Registration No. 27,757

Corwin P. Umbach, Ph.D.

Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 293106US40PCT		SERIAL NO. <b>10/588209</b> New US PCT Application Based on PCT/JP05/07355	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Shigeharu ICHIYANAGI		GROUP	
				FILING DATE Herewith			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2003 519356	6/17/2003	JP (with English abstract and equivalent of US2002 134538)			NO
	AP	03 054467	7/3/2003	WO (with English abstract and equivalent of US2005 6073)			NO
	AQ	2 62282	5/9/1990	JP			NO
	AR	2001 133189	5/18/2001	JP (with English abstract)			NO
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner /Tho Duong/					Date Considered 06/23/2009		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

**10/588209**

New U.S. PCT Application Based on PCT/JP05/00928

Shigeharu ICHIYANAGI

Docket No. 293106 US

**PP20 Rec'd PCT/PTO 02 AUG 2006**

STATEMENT OF RELEVANCY

- 1) References AO-AQ have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) Reference AR is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.